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INTERNATIONAL APPLICATION NO.

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## TITLE OF INVENTION

COMMUNICATION TERMINAL APPARATUS, BASE STATION APPARATUS  
AND RADIO COMMUNICATION METHOD

## APPLICANT(S) FOR DO/EO/US

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Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

## Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ Certificate of Mailing by Express Mail
20. ☐ Other items or information:

Transmittal Letter Under Rule 53  
Claim for Priority with PCT/IB/304  
PCT/IB/308  
PCT/RO/101

21. The following fees are submitted:

**BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :**

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1,000.00
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☒ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	9 - 20 =	0	x \$18.00
Independent claims	3 - 3 =	0	x \$80.00

Multiple Dependent Claims (check if applicable). ☐

\$0.00

**TOTAL OF ABOVE CALCULATIONS =**

\$990.00

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☐

\$0.00

**SUBTOTAL =**

\$990.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). +

\$0.00

**TOTAL NATIONAL FEE =**

\$990.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐

\$0.00

**TOTAL FEES ENCLOSED =**

\$990.00

Amount to be:	\$
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☒ A check in the amount of **\$990.00** to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
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☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **19-4375** A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

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DESCRIPTION

COMMUNICATION    TERMINAL    APPARATUS,    BASE    STATION  
APPARATUS AND RADIO COMMUNICATION METHOD

5    Technical Field

The present invention relates to a communication terminal apparatus, a base station apparatus and a radio communication method.

10    Background Art

A conventional ARQ (Automatic Repeat reQuest) system has three well known systems of "Stop and Wait ARQ (SW-ARQ)", "Go back N ARQ (GBN-ARQ)" and "Selective Repeat ARQ (SR-ARQ)". These three systems have merits  
15    that a simple decoder can be employed therein in comparison with an error correction system and high reliability can be obtained and so forth.

In the first place, operation of "SW-ARQ" system is described while employing FIG. 1. A base station  
20    transmits one cell (or one packet) to a mobile station. The mobile station checks whether or not an error occurs about the cell in a transmission network. In the case of no error, the mobile station transmits an acknowledge signal (ACK) to the base station while employing a  
25    returning channel to inform that the mobile station has received data correctly. While in the case where the mobile station has detected the error, the mobile station

transmits a retransmission request signal (NAK; Negative  
AcKnowledge) of the cell to the base station while  
canceling the cell with the error. When the base station  
receives the NAK, the base station retransmits data that  
5 is stored in a transmission buffer to the mobile station.  
Further, the retransmission is continued until the ACK  
is returned from the mobile station. Thus, since  
procedure of the "SW-ARQ" system is simple, the "SW-  
ARQ" system is employed widely for many data  
10 transmission.

Further, in the "GBN-ARQ" system, the base station  
transmits the cell continuously. Then, the base station,  
as illustrated in FIG. 2, transmits a next cell without  
waiting a response signal from the mobile station about  
15 the cell. Consequently, the base station results in  
transmitted plural cells until the base station receives  
the response signal from the mobile station. In an  
example of FIG. 2, the base station transmits the cells  
of NO. 1 to NO. 5 until the base station receives the  
20 NAK from the mobile station. Further, when the base  
station receives the NAK from the mobile station, the  
base station retransmits from a cell (NO. 2 cell) with  
an error to a cell (NO. 6 cell) which is transmitted in  
a timing when the NAK is received to the mobile station  
25 while going back to a cell corresponding to the NAK stored  
in the transmitting buffer. The mobile station cancels  
the cells from NO. 2 to NO. 6 received previously, because

the cells NO. 2 to NO. 6 are retransmitted from the base station.

Moreover, concerning the SR-ARQ system, likewise the GBN-ARQ system, the base station transmits the cell  
5 continuously. However, in this system, as illustrated in FIG. 3, the base station retransmits only the cell with occurrence of an error to the mobile station. The SR-ARQ system is one whose transmission efficiency is the most excellent among the above-described three ARQ  
10 systems.

Here, in an asymmetrical data transmission, there is a problem that load of a forward channel from the base station to the mobile station becomes large in comparison with a load of a reverse channel from the mobile station  
15 to the base station.

In the above-described conventional ARQ system, since retransmission of information data with occurrence of an error in reverse/forward channel is performed while employing the same reverse/forward channel, a problem  
20 that load of the forward channel is large in comparison with a load of the reverse channel is not solved.

#### Disclosure of Invention

An object of the present invention is to provide  
25 a communication terminal apparatus, a base station apparatus and a radio communication method capable of performing asymmetrical data transmission with

excellent efficiency while alleviating the load of the forward channel with the large load assigned.

In order to achieve the above-described object, in the present invention, in the case where an error occurs  
5 during data transmission in the forward channel, the mobile station returns a received cell as it is while employing the reverse channel. Subsequently, the base station detects an occurrence position of the error while comparing the returned cell with a stored corresponding  
10 transmitting cell, followed by informing information indicating the occurrence position of the detected error to the mobile station. Then, the mobile station corrects error data received previously on the basis of the error information.

15 Namely, in the present invention, since retransmission of the error data that is performed in the forward channel with large load assigned is shifted to the reverse channel, transmission efficiency of asymmetrical data transmission is enhanced.

20

#### Brief Description of Drawings

FIG. 1 is a sequence view for explaining operation of "Stop and Wait ARQ" of a conventional system;

FIG. 2 is a sequence view for explaining operation  
25 of "Go back N ARQ" of a conventional system;

FIG. 3 is a sequence view for explaining operation of "Selective Repeat ARQ" of a conventional system;

FIG. 4 is a configuration view of a radio communication system according to an embodiment 1 of the present invention;

FIG. 5A is a sequence view for explaining operation of a base station and a mobile station according to the embodiment 1 of the present invention;

FIG. 5B is a sequence view for explaining operation of a base station and a mobile station according to the embodiment 1 of the present invention;

FIG. 6A is a block diagram illustrating a configuration of a transmitting section of the base station according to the embodiment 1 of the present invention;

FIG. 6B is a view illustrating a configuration of a cell transmitted from the base station according to the embodiment 1 of the present invention;

FIG. 7 is a block diagram illustrating a configuration of the mobile station according to the embodiment 1 of the present invention;

FIG. 8 is a block diagram illustrating a configuration of a receiving section of the base station according to the embodiment 1 of the present invention.

FIG. 9 is a view illustrating a configuration of error information transmitted from the base station according to the embodiment 1 of the present invention;

FIG. 10 is a block diagram illustrating a configuration of the mobile station according to the

embodiment 1 of the present invention;

FIG. 11A is another sequence view for explaining operation of the base station and the mobile station according to the embodiment 1 of the present invention;

5        FIG. 11B is another sequence view for explaining operation of the base station and the mobile station according to the embodiment 1 of the present invention; and

10        FIG. 12 is a block diagram illustrating a configuration of a mobile station according to an embodiment 2 of the present invention.

#### Best Mode for Carrying Out the Invention

15        Embodiments of the present invention are described in detail below with reference to accompanying drawings.

(Embodiment 1)

20        FIG. 4 is a configuration view of a radio communication system according to an embodiment 1 of the present invention. In FIG. 4, 101 is a transmitting section of a base station side, 102 is a forward user channel (forward Uch), 103 is a forward control channel (forward Cch), 104 is a receiving section of a mobile station side, 105 is a transmitting section of the mobile station side, which performs returning of a cell with  
25        an error (hereinafter referred to as error cell) and transmission of ACK/NAK signal, 106 is reverse user channel (reverse Uch), 107 is a reverse control channel



(reverse Cch) and 108 is a receiving section of the base station side.

In the base station which is a transmitting side, a cell is inputted to the transmitting section 101. A  
5 CRC (Cyclic Redundancy Check) bit is added to the cell by the transmitting section 101. Subsequently, the cell is transmitted to the mobile station while employing the forward Uch.

Further, the cell is received by the receiving  
10 section 104 of the mobile station. The CRC is performed to the received cell by the receiving section 104. Then, in the case of no error, the cell is transferred to a next processing section which is not illustrated by the receiving section 104. On the other hand, in the case  
15 where an error occurs on the cell, the cell is transferred to the transmitting section 105 by the receiving section 104, before being returned to the base station as it is by the transmitting section 105 while employing the reverse Uch.

20 In the base station, the receiving section 108 receives an error cell returned from the mobile station. Further, the receiving section 108 detects occurrence position of the error while comparing the received error cell with a corresponding transmitting cell which is  
25 stored. Then, the receiving section 108 outputs information (hereinafter referred to as error information) indicating the occurrence position of the

error. Further, the transmitting section 101 transmits the error information to the mobile station while employing the forward Cch. Furthermore, in the case where error occurs on data received by the receiving section 108, the transmitting section 101 requires retransmission to the mobile station while employing the NAK signal.

In the mobile station, the receiving section 104 receives the error information transmitted from the base station. Further, in the case where the error information is received correctly, the receiving section 104 corrects the error data received previously on the basis of a position of an error bit indicated by the error information. On the other hand, in the case where the error information is received incorrectly, the transmitting section 105 requires retransmission of the error information to the base station while employing the NAK signal. Furthermore, concerning transmission of reverse data to the base station from the mobile station, the SR-ARQ system and so forth which are different from the above-described method is adopted.

FIG. 5A and FIG. 5B are sequence views for explaining operation of the base station and the mobile station according to the embodiment 1. As illustrated in FIG. 5A, in the first place, the base station transmits cells to the mobile station in order of the sequence number (SN) order from NO. 1. Since the cells of NO. 2,

3, 4, 5, 7, 8, 9, 10 are transmitted correctly, as illustrated in FIG. 5B, the mobile station returns the ACK signal to the base station while employing the reverse Cch.

5        Since error occurs on the cells of NO. 1 and NO. 6, as illustrated in FIG. 5A, the mobile station returns the NO. 1 cell with the error and the NO. 6 cell with the error as they are to the base station.

10        Since the NO. 1 cell is returned correctly, the base station performs error detection while comparing the received NO. 1 cell with a NO. 1 cell which is stored on the occasion of previous transmission. Further, the base station, as illustrated in FIG. 5B, transmits the error information (NO. 1 error information) to the mobile  
15        station while employing the forward Cch. On the other hand, since the NO. 6 cell, as illustrated in FIG. 5A, is returned incorrectly, the base station, as illustrated in FIG. 5B, requires such that the mobile station performs returning of the NO. 6 cell again while  
20        employing the NAK signal. In compliance with the requirement, the mobile station, as illustrated in FIG. 5A, performs returning of the NO. 6 cell again. Then, since the NO. 6 cell is returned correctly in accordance with the returning performed again, the base station  
25        performs error detection while comparing the received NO. 6 cell with a NO. 6 cell which is stored on the occasion of previous transmission. Then, the base station, as

illustrated in FIG. 5B, transmits the error information (NO. 6 error information) to the mobile station while employing the forward Cch.

On the other hand, since the mobile station, as  
5 illustrated in FIG. 5B, cannot receive correctly the error information (the NO. 1 error information) of the NO. 1 cell, the mobile station requires retransmission of the error information (the NO. 1 error information) while employing the NAK signal. In compliance with this  
10 requirement, the base station, as illustrated in FIG. 5B, retransmits the error information (NO. 1 error information) of the NO. 1 cell.

As illustrated in FIG. 5B, since the error information (NO. 6 error information) of the NO. 6 cell  
15 is transmitted correctly, the mobile station corrects the NO. 6 cell with the error received previously on the basis of a position of an error bit indicated by the error information. Further, as illustrated in FIG. 5B, since the error information (NO. 1 error information) of the  
20 NO. 1 cell is transmitted correctly due to retransmission of the error information (NO. 1 error information) of the NO. 1 cell, the mobile station corrects the NO. 1 cell with the error received previously on the basis of a position of an error bit indicated by the error  
25 information.

According to operation as above, transmission of the cell which is performed while employing the forward

Uch comes to an end with only one time per each cell. Further, on that particular case where an error occurs on the cell transmitted while employing the forward Uch, a returning of the cell with occurrence of the error is performed while employing the reverse Uch with small load assigned. Accordingly, since load of the forward channel with large communication load assigned is reduced, a value of a throughput becomes approximately 100% with a value of  $E_b/N_0$  hardly related.

Further, since there is a little data quantity of the error information transmitted while employing the forward Cch, it is possible to prevent a decrease in the throughput of the forward channel.

Subsequently, a result of an improvement of the throughput of the forward channel in the case where the radio communication system of the present embodiment is employed is indicated in the following table 1. The table 1 is the throughput characteristic of the present embodiment in comparison with the SR-ARQ system.

Further, the throughput value of the forward channel of the SR-ARQ system does not contain the cell that is retransmitted to the NAK from the mobile station.

TABLE 1

$E_b/N_0$	THROUGHPUT CHARACTERISTIC OF FORWARD CHANNEL		IMPROVEMENT OF THROUGHPUT CHARACTERISTIC OF FORWARD CHANNEL	INCREASE OF TRAFFIC OF REVERSE CHANNEL
	SR-ARQ SYSTEM	PRESENT EMBODIMENT		
10 dB	41.9%	ABOUT 100%	58.1 %	MAXIMUM 54.5 % OF FORWARD THROUGHPUT
15 dB	88.4%	ABOUT 100%	11.6 %	MAXIMUM 6.3 % OF FORWARD THROUGHPUT

A following conclusion is obtained from the table 1.

• IMPROVEMENT OF THROUGHPUT CHARACTERISTIC OF FORWARD CHANNEL:

5                    58.1 % ( $E_b/N_0 = 10\text{dB}$ )

• INCREASE OF TRAFFIC OF REVERSE CHANNEL:

MAXIMUM 54.5 % OF FORWARD THROUGHPUT ( $E_b/N_0 = 10\text{dB}$ )

Next, a configuration and operation of the transmitting section 101 of the base station side will be described specifically. FIG. 6A is a block diagram illustrating a configuration of the transmitting section 101 of the base station. In FIG. 6A, 301 is a data input section, 302 is a header adding section, 303 is a CRC adding section, 304 is a SN adding section and 305 is a data transmitting section.

In the header adding section 302, an ATM (Asynchronous Transfer Mode) cell header and a radio header are added to information data inputted to the data

input section 301, followed by adding the CRC bit in the  
CRC adding section 303. In the SN adding section 304,  
a sequence number SN for warranting turn of the data is  
added to the information data as header control  
5 information, before being built into one cell. FIG. 6B  
illustrates the configuration of the built cell. The  
built cell is transmitted to the mobile station from the  
data transmitting section 305 while employing the  
forward Uch. Transmission of the cell with the forward  
10 Uch employed is performed only one time per one cell  
regardless of existence of an error. Accordingly, it is  
possible to reduce a communication load of the forward  
channel.

Next, a configuration and operation of the mobile  
15 station side will be described specifically. FIG. 7 is  
a block diagram illustrating a configuration of the  
mobile station. However, in FIG. 7, the same number is  
added to the same configuration as that of FIG. 4 to omit  
the description.

20 In FIG. 7, the receiving section 104 includes a data  
receiving section 404 and a CRC section 405. Further,  
the transmitting section 105 includes an ACK returning  
section 401, an error cell returning section 402, and  
a CRC renewal processing section 403.

25 Concerning the cell received by the data receiving  
section 404, in the first place, an error check is  
performed in the CRC section 405. The CRC section 405

performs error checking with respect to the cell in accordance with predetermined CRC method.

Further, in the case of no error on the cell, the CRC section 405 outputs a received cell to next processing section which is not illustrated. Then, an acknowledge signal ACK is replied to the base station by the ACK returning section 401 while employing reverse Cch.

On the other hand, in the case where an error exists, a CRC bit is added to a data portion of the received cell newly by the CRC renewal processing section 403. Then, an error cell is returned to the base station as it is by the error cell returning section 402 while employing the reverse Uch.

Thus, in the case where an error occurs on the cell on the occasion of transmission in the forward channel, since retransmission of the error cell is performed while employing the reverse channel with light load assigned, it is possible to reduce communication load of the forward channel. Further, the worse is the state of affairs of the forward propagation path, the larger is the improvement effect.

Next, a configuration and operation of the receiving section 108 of the base station side will be described specifically. FIG. 8 is a block diagram illustrating a configuration of the receiving section 108 of the base station side. However, in FIG. 8, the same number is added to the same configuration as that



of FIG. 4 to omit the description.

In FIG. 8, 501 is a data receiving section, 502 is a CRC section, 503 is a retransmission requiring section, 504 is an error detecting section, 505 is a buffer for storing transmission data, 506 is a retransmission processing section and 507 is an error information processing section.

An error cell returned from the mobile station is received by the data receiving section 501. Then, the CRC section 502 performs CRC to the error cell. In the case where the error cell cannot be received correctly (namely, a result of the CRC becomes NG), the retransmission requiring section 503 requires retransmission of the error cell to the mobile station while employing the NAK signal. On the other hand, in the case where the error cell is received correctly (namely, a result of the CRC becomes OK), the error detecting section 504 compares the received error cell with a corresponding cell stored in the buffer 505 on the occasion of previous transmission, followed by detecting an occurrence position of the error and the number of occurrence of the error.

In the case where the number of occurrence of the error is smaller than the predetermined number, the error information processor 507 generates error information. Then, the transmitting section 101 transmits the error information to the mobile station while employing the

forward Cch.

FIG. 9 illustrates a configuration of the error information. In FIG. 9, 601 is an occurrence position of an error bit, 602 is a sequence number SN and 603 is a CRC bit. The error information configured as above is transmitted to the mobile station while employing the forward Cch. The mobile station can find an occurrence position of the error within the error cell due to the occurrence position 601 of the error bit. Further, the mobile station can find whether the error information is one that is related to which cell due to the SN 602. Furthermore, the CRC bit 603 is employed for checking existence of the error that occurs during transmission of the error information in the mobile station. In the case where the error information takes such configuration, the error information requires 9 bits per one error.

Thus, in the case where the number of occurrence of the error is smaller than the predetermined number, since the error information with small data quantity is transmitted while employing the forward Cch, it is possible to reduce the communication load of the forward Uch.

On the other hand, in the case where the number of occurrence of the error is more than the predetermined number (for instance, the number of bits of the error information is quantity of more than corresponding one

cell), the retransmission processing section 506 instructs to the data input section 301 so as to perform retransmission of the cell in stead of the fact that the error information is transmitted to the mobile station.

5 In this case, the data input section 301 extracts a cell corresponding to the error cell from the buffer 505, followed by retransmitting the corresponding cell to the mobile station while employing the forward Uch. The mobile station rewrites the cell with the same SN due  
10 to a cell received newly.

Thus, in the case where the number of occurrence of the error is more than the predetermined number, namely, in the case where the number of occurrence of the error is exceedingly large, since retransmission of the error  
15 cell is performed while employing the forward Uch, it is possible to improve utilization efficiency of channel of the whole system. Further, since a probability that the number of occurrence of the error is extremely large is extremely small, there is a few decrease of the  
20 throughput of the forward channel due to retransmission of the cell in comparison with the state where the number of occurrence of the error is small.

Next, operation of the mobile station will be described in the case where the mobile station receives  
25 the error information from the base station. FIG. 10 is a block diagram illustrating a configuration of the mobile station according to the embodiment 1 for

performing correction of the error cell in accordance with the error information. However, in FIG. 10, the same number is added to the same configuration as that of FIG. 4 as well as FIG. 7 to omit the description.

5 In FIG. 10, 701 is an error correcting section, 702 is a data receiving buffer and 703 is a NAK returning section. The error information transmitted from the base station is received by the data receiving section 404, followed by performing an error check in the CRC  
10 section 405.

In a result of the error check, in the case where there is no error in the error information, the error correcting section 701 causes the error bit of corresponding error cell stored in the data receiving  
15 buffer 702 to be inverted in accordance with a position of the error bit indicated by the error information to correct the error of the error cell. Then, the error correcting section 701 outputs the corrected cell to a next processing section which is not illustrated.

20 In a result of the error check, in the case where the error exists in the error information, the NAK returning section 703 transmits the NAK signal to the base station to require retransmission of the error information to the base station.

25 FIG. 11A and FIG. 11B are another sequence views for explaining operation of the base station and the mobile station according to the embodiment 1. As

illustrated in FIG. 11A, in the first place, the base station transmits the cell to the mobile station in turn from NO. 1, in SN order. Since the cells of NO. 2, 3, 4, 5, 7, 8, 9 are transmitted correctly, as illustrated  
5 in FIG. 11B, the mobile station returns the ACK signal to the base station while employing the reverse Cch.

Since the error occurs in the cells of NO. 1 and NO. 6, as illustrated in FIG. 11A, the mobile station returns the NO. 1 cell with the error and the NO. 6 cell  
10 with the error to the base station as they are while employing the reverse Uch.

Since both of the NO. 1 cell and the NO. 6 cell are returned correctly, the base station compares the received NO. 1 cell and NO. 6 cell with the NO. 1 cell  
15 and the NO. 6 cell stored on the occasion of previous transmission respectively, followed by performing detection of the error. Here, for instance, in the case where the number of occurrence of the error in the NO. 1 cell is smaller than the predetermined number, as  
20 illustrated in FIG. 11B, the base station transmits error information (NO. 1 error information) indicating occurrence position of an error to the mobile station while employing the forward Cch. Further, for instance, in the case where the number of occurrence of the error  
25 in the NO. 6 is more than the predetermined number, namely in the case where the number of occurrence of the error is extremely large, as illustrated in FIG. 11A, the base

station performs retransmission of the NO. 6 cell while employing the forward Uch in stead of transmission of the error information.

Then, since the retransmitted NO. 6 cell is  
5 transmitted correctly, the mobile station, as  
illustrated in FIG. 11B, returns the ACK signal to the  
base station. Moreover, since the error information (NO.  
1 error information) of the NO. 1 cell is not transmitted  
correctly, the mobile station requires retransmission  
10 of the error information (NO. 1 error information) to  
the base station while employing the NAK signal. In  
compliance with this requirement, the base station, as  
illustrated in FIG. 11B, retransmits the error  
information (NO. 1 error information) of the NO. 1 cell  
15 to the mobile station.

Then, since the retransmitted error information (NO.  
1 error information) of the NO. 1 cell is transmitted  
correctly, the mobile station corrects the NO. 1 cell  
with the error received previously on the basis of a  
20 position of the error bit indicated by the error  
information.

As described above, according to the present  
embodiment, it is possible to decrease communication  
load of the forward channel with large communication load  
25 assigned.

(Embodiment 2)

FIG. 12 is a block diagram illustrating a configuration of the mobile station according to an embodiment 2 of the present invention. Further, in FIG. 12, the same number is added to the same configuration as that of FIG. 4 and FIG. 7 to omit the description.

In FIG. 12, 901 is a returning section, 902 is a returning buffer, 903 is a CRC adding section, 904 is a reverse transmission buffer and 905 is a data transmitting section. Concerning a cell received by the data receiving section 404, in the first place, an error check is performed in the CRC section 405. In the case where an error exists, the returning section 901 performs returning processing. The cell results in a condition for waiting transmission in the returning buffer 902.

On the other hand, data transmitted from the mobile station, to which the CRC bit is added in the CRC adding section 903, results in a condition for waiting transmission in the reverse transmitting buffer 904.

The data transmitting section 905 refers to the reverse transmitting buffer 904 on the occasion of transmission of the data. The data transmitting section 905, in the case where transmitted information data is stored in the reverse transmitting buffer 904, transmits the information data stored in the reverse transmitting buffer 904 while taking precedence over returning of the cell with an error stored in the returning buffer 902. Then, when the information data stored in the reverse

transmitting buffer 904 is over, the data transmitting section 905 returns the error cell stored in the returning buffer 902.

As described above, according to the present embodiment, since returning of the error cell is performed only in the case where there is room in a traffic of the reverse Uch, it is possible to return the error cell without influencing transmission of the information data.

Further, in the embodiment 1 and the embodiment 2, as one example, there is described on the assumption that the ATM cell form is employed as the form of the information data. However, the form of the employed information data is not limited to the ATM cell form. It does not matter whether the form of the information is a packet or so forth.

As described above, according to the present invention, transmission of the cell performed while employing the forward Uch ends by only one time per each cell. Further, returning of the cell with the error occurrence is performed while employing the reverse Uch with small load assigned on that particular case where an error occurs in the cell transmitted while employing the forward Uch. Consequently, according to the present invention, since the throughput becomes about 100% in the context with a value of  $E_b/N_0$  hardly related, the load of the forward channel whose communication load is large



is reduced.

Further, according to the present invention, since the error information transmitted while employing the forward Cch has a small amount of data quantity, it is possible to prevent decrease of the throughput of the forward channel.

Consequently, according to the present invention, since it is possible to alleviate load of the forward channel with large load assigned, it is possible to perform asymmetrical data transmission with excellent efficiency .

This application is based on the Japanese Patent Application No. HEI 11-94351 filed on March 31, 1999 and the Japanese Patent Application No. HEI 11-102734 filed on April 9, 1999, entire contents of which are expressly incorporated by reference herein.

#### Industrial Applicability

The present invention is applicable to a base station apparatus that is employed in a radio communication system, and to a communication terminal apparatus such as a mobile station for performing radio communication to the base station apparatus.

## CLAIMS

1. A communication terminal apparatus comprising:  
an error checker for checking whether or not an  
error exists in a unit of transmission that is received ;  
5        returner for returning the unit of transmission  
with an error detected; and  
corrector for correcting the error of the unit of  
transmission with the error detected on the basis of error  
information which is received after reception of the unit  
10 of transmission with the error detected.
2. A communication terminal apparatus according to  
claim 1, wherein the corrector corrects the error on the  
basis of information indicating a position of an error  
bit.
- 15        3. A communication terminal apparatus according to  
claim 1, wherein the corrector causes the error bit to  
be inverted so as to correct the error.
4. A communication terminal apparatus according to  
claim 1, wherein the error checker checks existence of  
20 an error of the unit of transmission due to CRC.
5. A communication terminal apparatus according to  
claim 1, wherein the returner adds a CRC bit to the unit  
of transmission with the error detected to return.
- 25        6. A communication terminal apparatus according to  
claim 1, wherein the returner stores the unit of  
transmission with the error detected, followed by  
returning the stored unit of transmission only when there

is no unit of transmission further.

7. A base station apparatus comprising:

a transmitter for transmitting a generated unit of transmission and for storing the generated unit of transmission in a buffer;

a detector for detecting an error within the unit of transmission while comparing a unit of transmission returned from the communication terminal apparatus according to claim 1 with a unit of transmission stored in the buffer; and

an error information generator for generating information regarding the error.

8. A base station apparatus according to claim 7, further comprising a retransmitter for retransmitting the unit of transmission stored in the buffer in the case where the number of the errors existing within the unit of transmission is not less than a predetermined threshold value.

9. A radio communication method comprising:

retransmitting a unit of transmission with an error detected from a communication terminal apparatus;

generating information regarding an error within the unit of transmission while comparing a returned unit of transmission with a corresponding unit of transmission stored in a buffer previous to transmission of the unit of transmission in a base station apparatus, followed by transmitting the information to the



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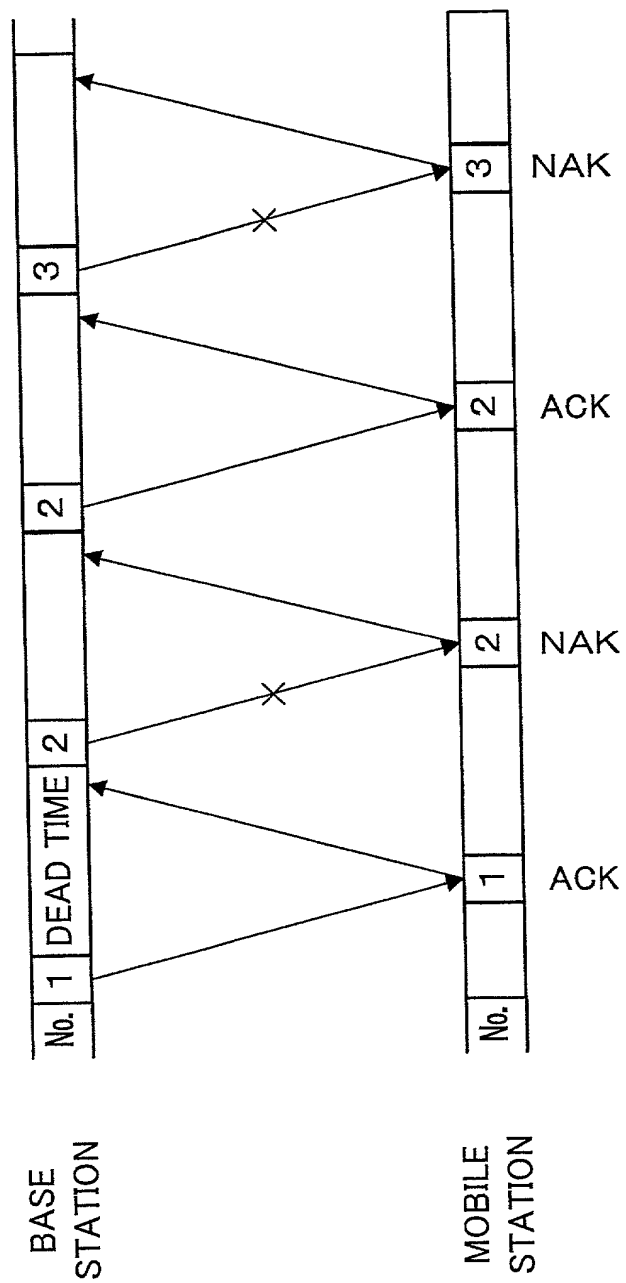


FIG.1

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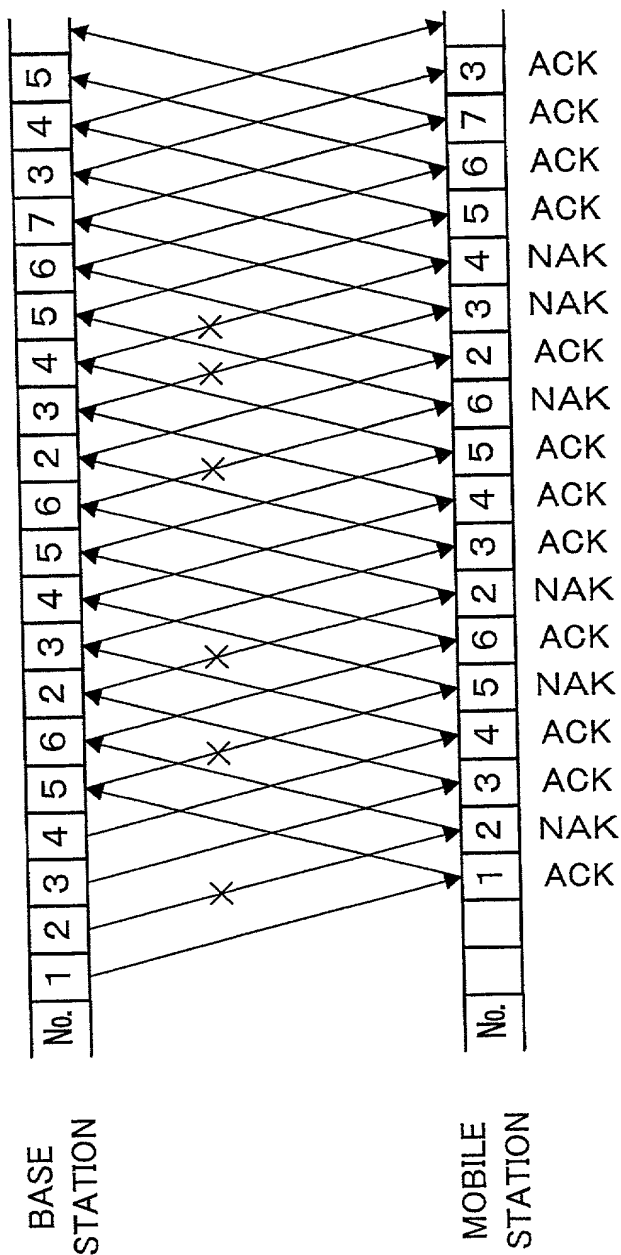


FIG.2

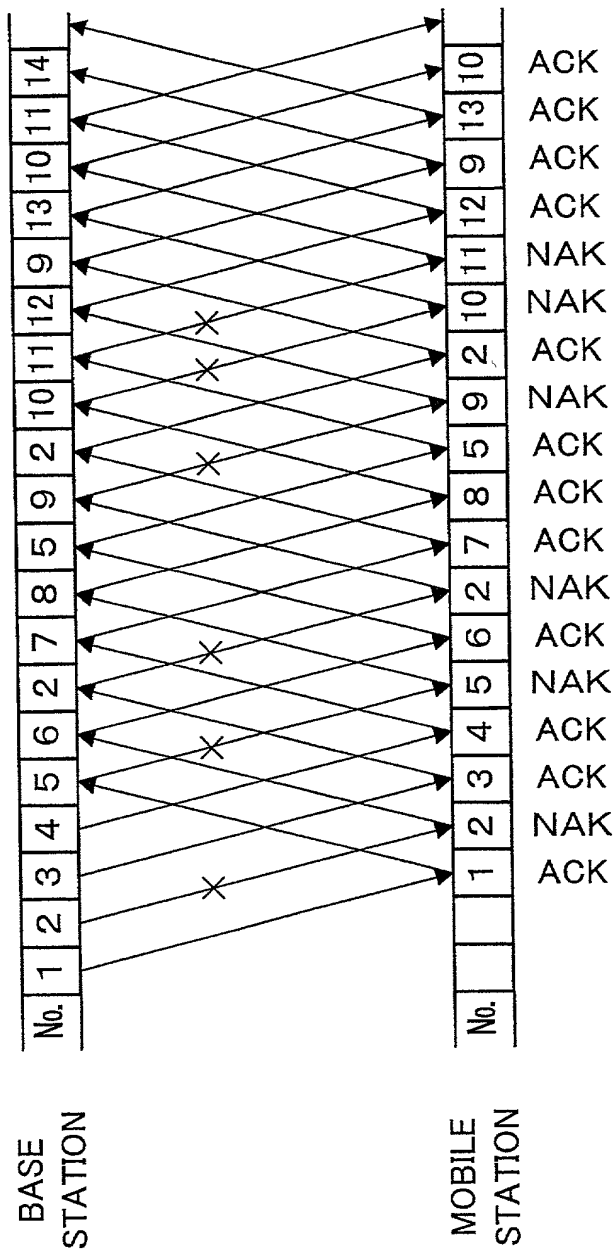


FIG.3

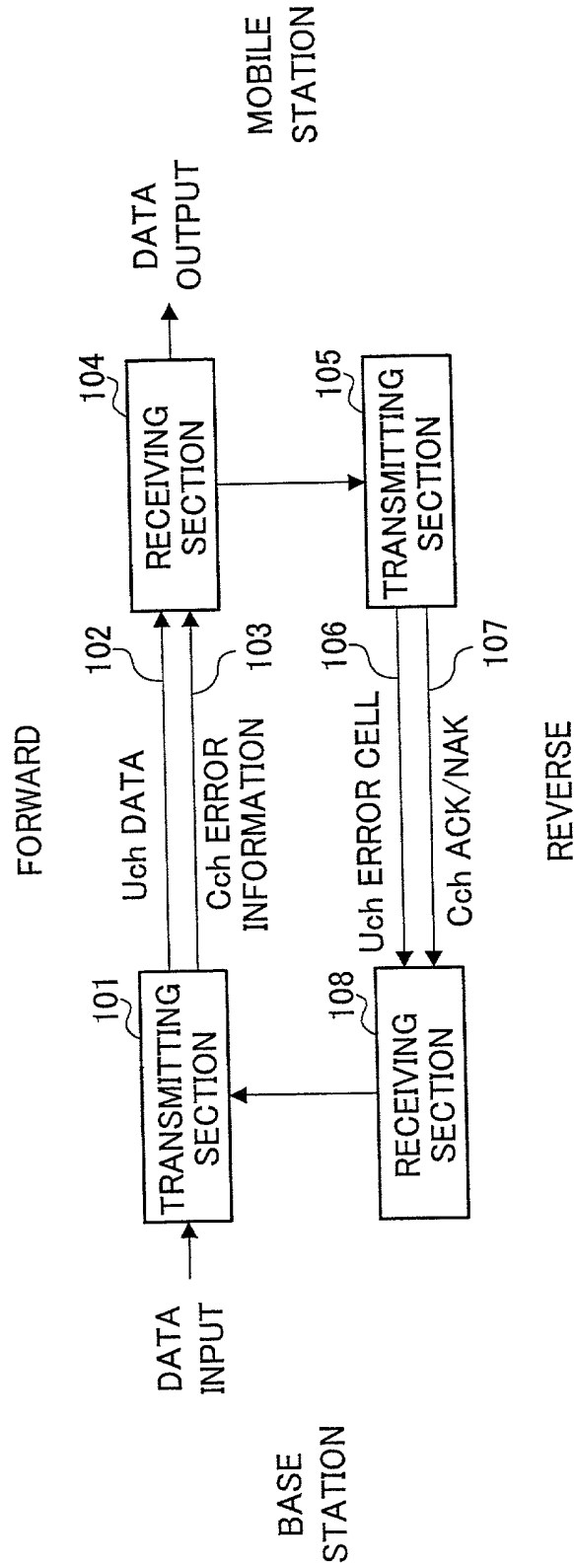


FIG.4



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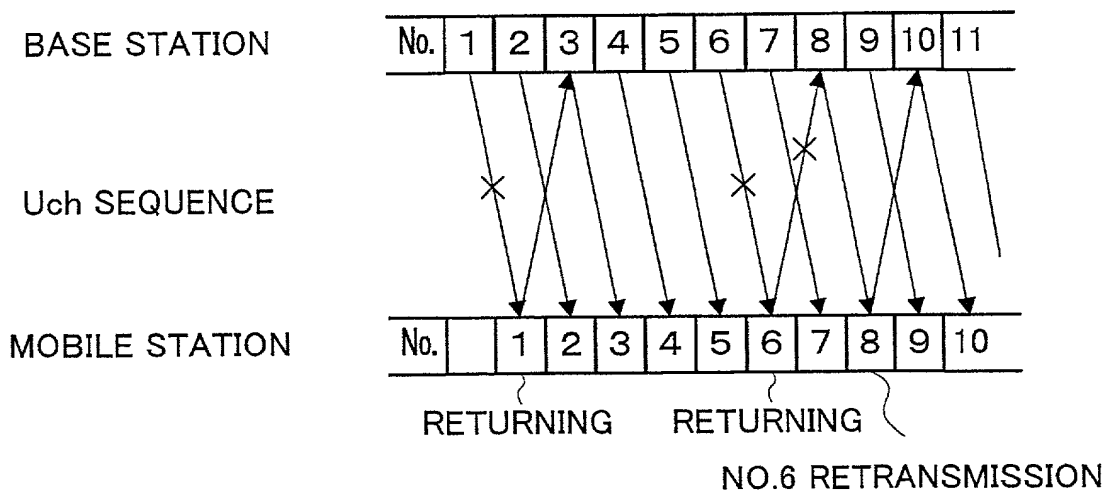


FIG.5A

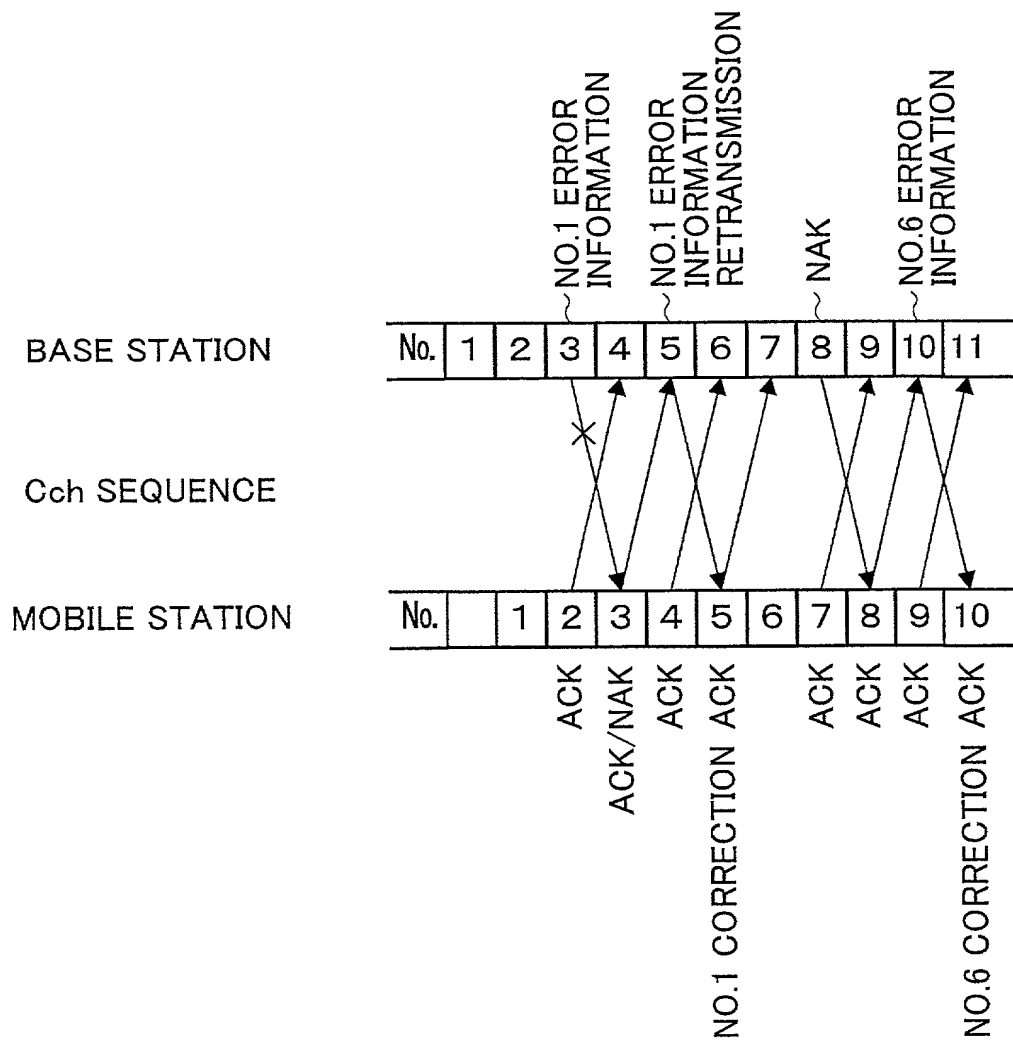


FIG.5B

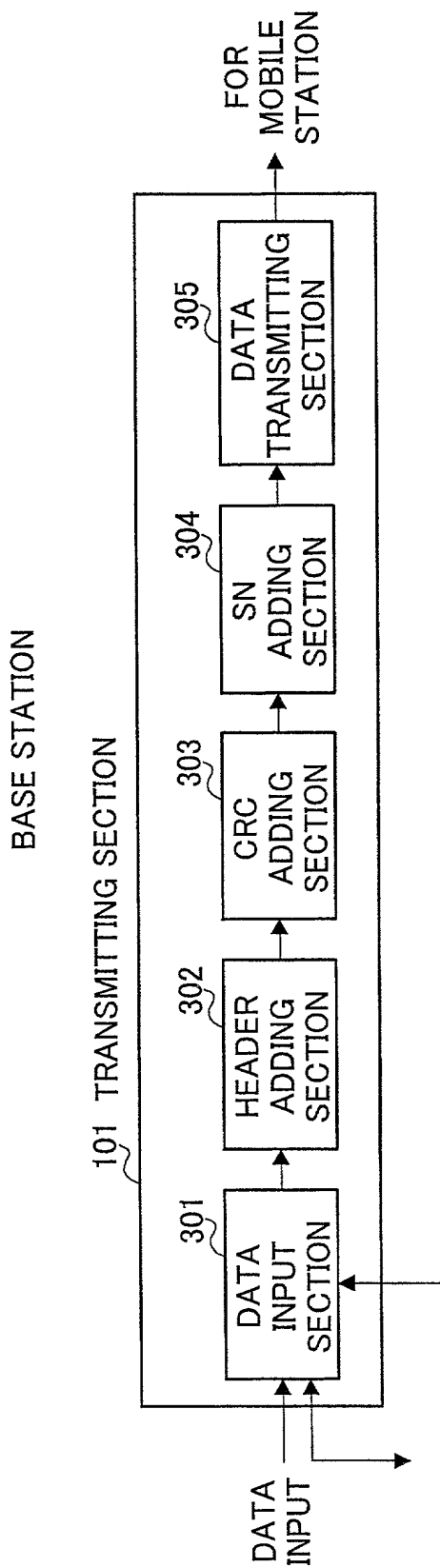


FIG.6A

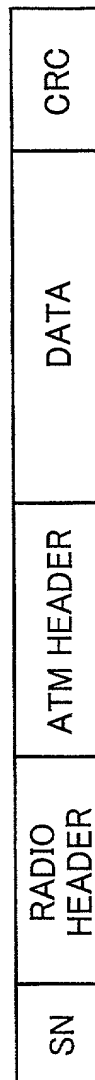


FIG.6B

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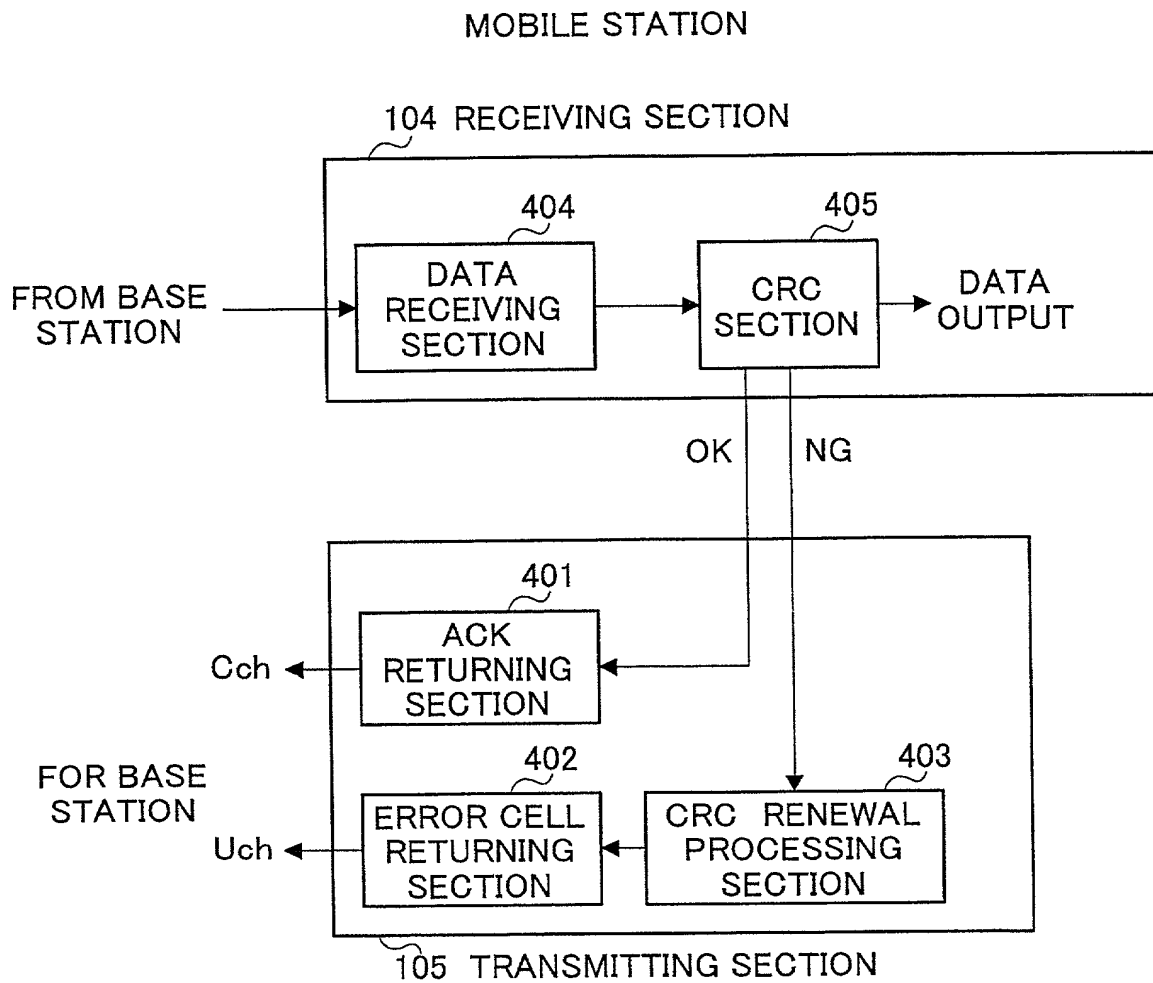


FIG.7

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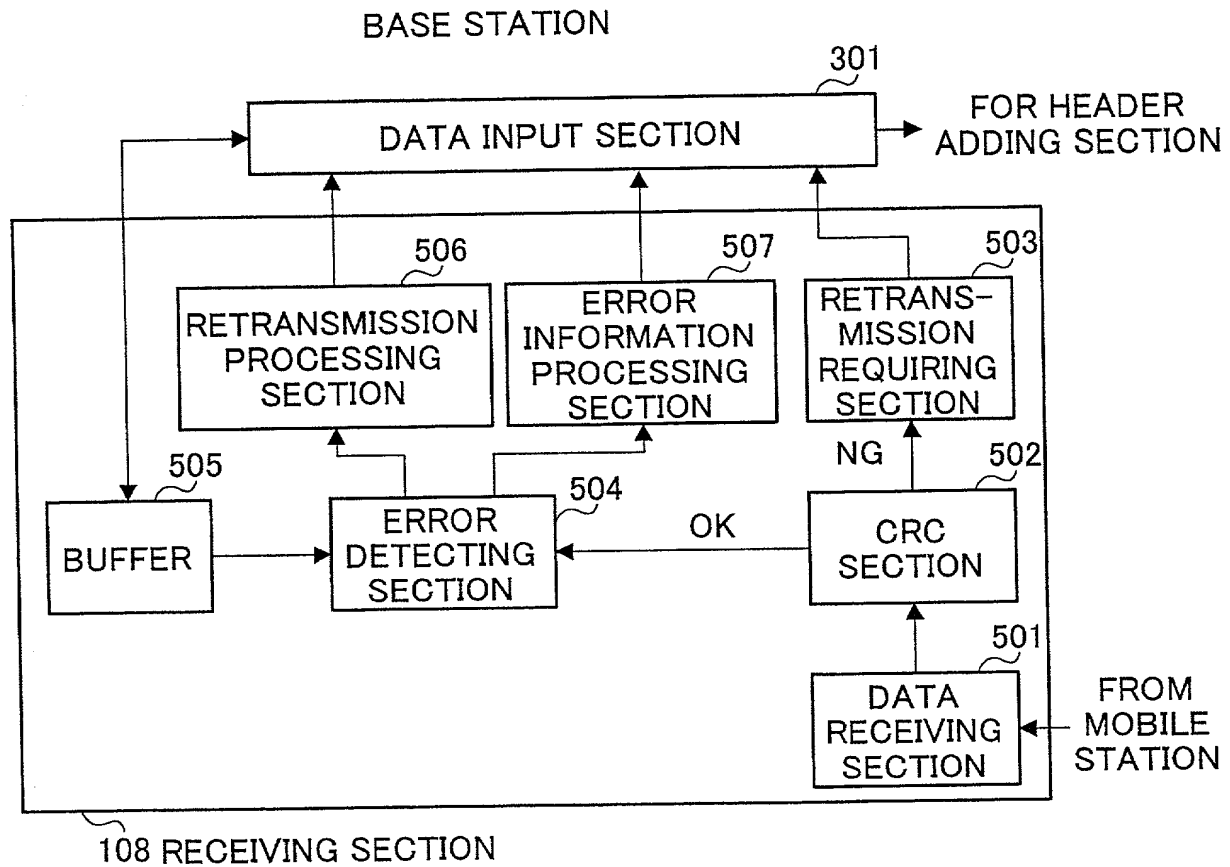


FIG.8

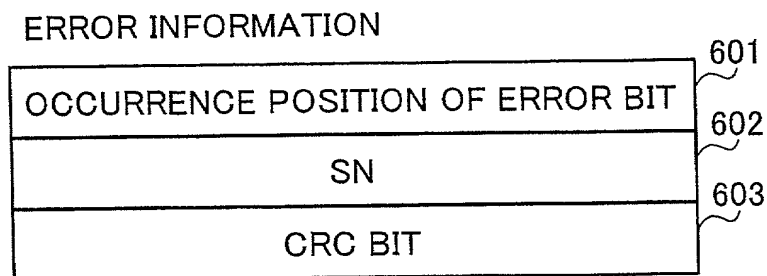


FIG.9

# MOBILE STATION

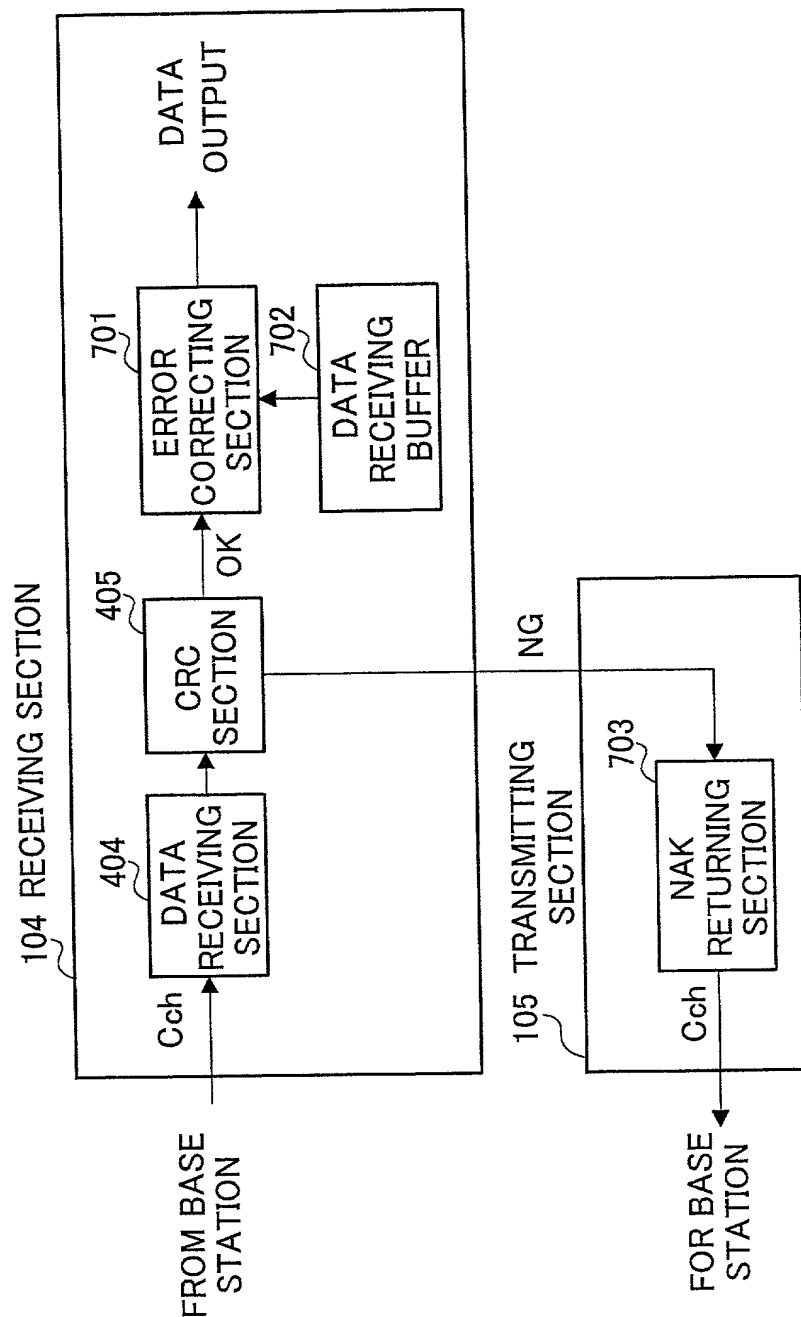


FIG.10

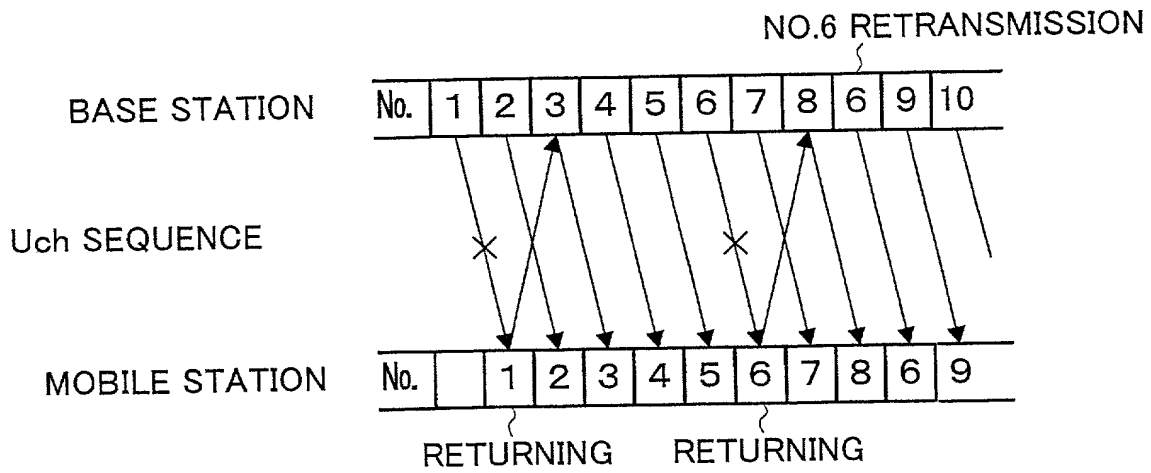


FIG. 1 1 A

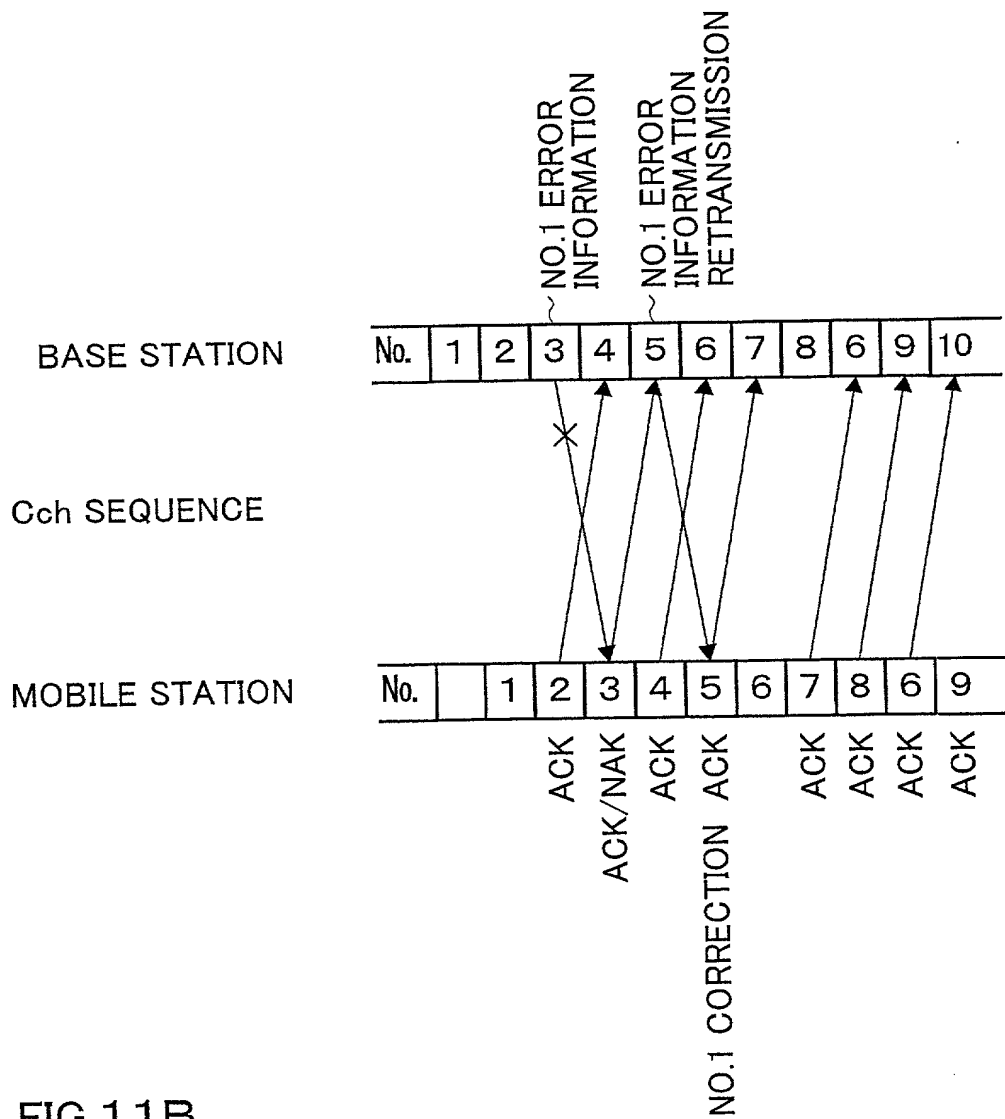


FIG. 1 1 B

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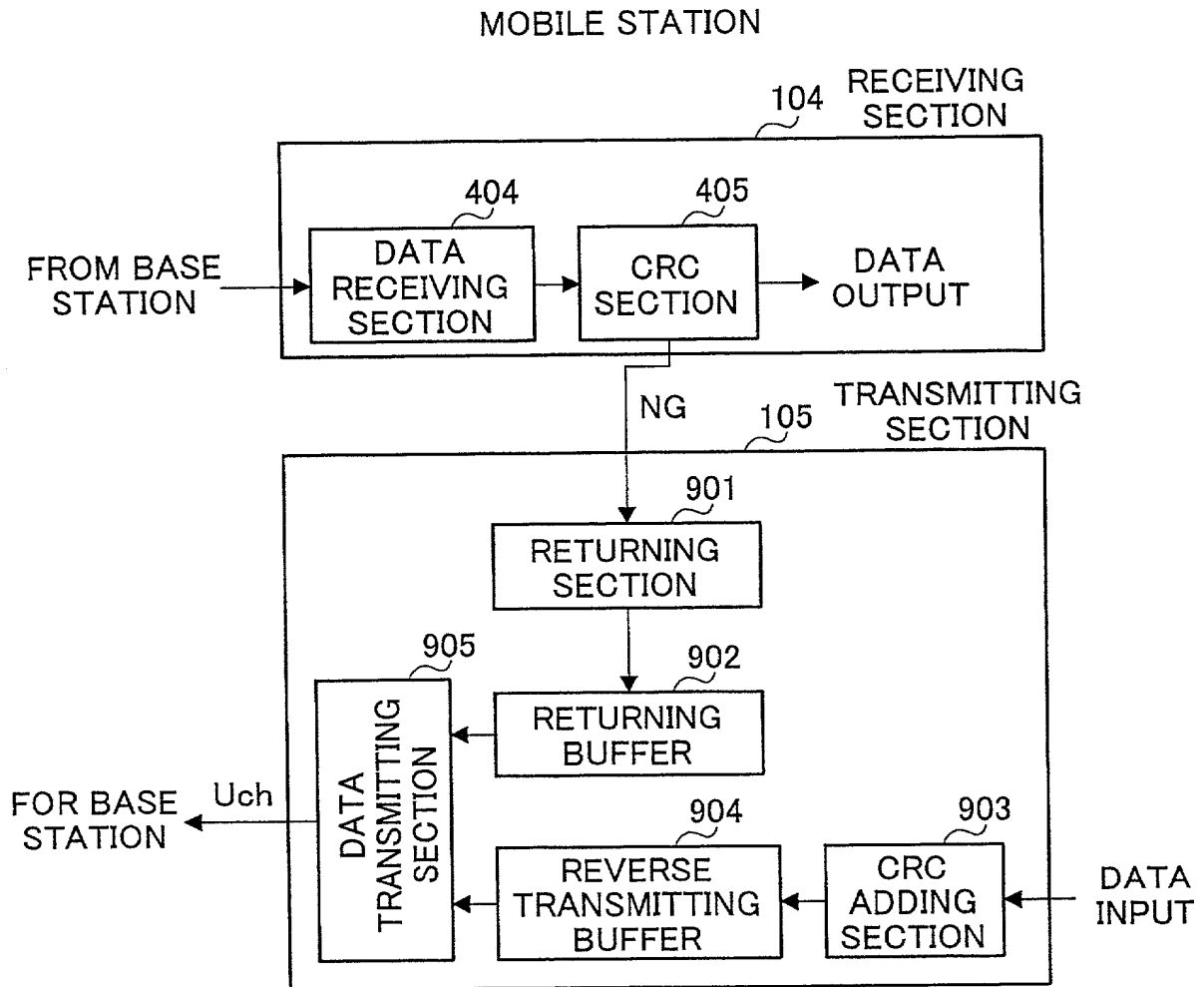


FIG.12

**APPLICATION FOR UNITED STATES PATENT**  
**Declaration for Patent Application**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on

the invention entitled: COMMUNICATION TERMINAL APPARATUS, BASE STATION APPARATUS AND RADIO COMMUNICATION METHOD

the specification of which

2 (file no \_\_\_\_\_ )

(check at least one)

3 ☐ is attached hereto

4 ☒ was filed on 11/28/2000 as (5) U.S. Application Serial No. 09/701,309

6 ☐ and was amended \_\_\_\_\_  
(if applicable)

Use this portion only if you are entering the U.S. National phase based on a PCT International Application designating the U.S.	7 <input checked="" type="checkbox"/>	was filed as PCT international application	
	8	Number	<u>PCT/JP00/01754</u>
	9	on	<u>March 23, 2000</u>
		and was amended under PCT Article(s) 19 and/or 34	
	10	on	_____ (if applicable).
	11	priority date claimed in PCT International Application	
	<u>JAPAN</u>	<u>JP11-094351</u>	<u>31/March/1999</u>
	(Country)	(Number)	(Day/Month/Year Filed)
	<u>JAPAN</u>	<u>JP11-102734</u>	<u>9/April/1999</u>
	(Country)	(Number)	(Day/Month/Year Filed)
	_____	_____	_____
	(Country)	(Number)	(Day/Month/Year Filed)

I hereby declare that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended, by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me which is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application (s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date earlier than that of the application(s) on which priority is claimed.

Prior (Foreign) Application(s) any Priority Claims Under 35 U.S.C. 119 Priority Claimed

12a	_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
	(Country)	(Number)	(Day/Month/Year Filed)	Yes	No
	_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>
	(Country)	(Number)	(Day/Month/Year Filed)	Yes	No

Priority Claim(s) from U.S. Provisional Application(s) – I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

12b	_____	_____	_____	_____
	Application No.	Day/Month/Year Filed	Application No.	Day/Month/Year Filed

Do not use this portion to identify a PCT application if the parent application is the U.S. National phase of the PCT application	I hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between filing date of the prior application and the national or PCT international filing date of this application.		
	13	_____	_____
	(U.S. Application Number)	(U.S. Filing Date)	Status (patented, pending, abandoned)

I hereby appoint the following attorneys of the firm of Stevens, Davis, Miller & Mosher, L.L.P. as my attorneys of record with full power of substitution and revocation to prosecute this application and to transact all business in the Patent and Trademark Office:

James E. Ledbetter, Reg. No. 28732; Thomas P. Pavelko, Reg. No. 31689; and Anthony P. Venturino, Reg. No. 31674.

**ALL CORRESPONDENCE IN CONNECTION WITH THIS APPLICATION SHOULD BE SENT TO**  
**STEVENS, DAVIS, MILLER & MOSHER, L.L.P., 1615 L Street, N.W., Suite 850, Washington, D.C. 20036,**  
**TELEPHONE (202) 408-5100, FACSIMILE (202) 408-5200.**

See page 2 for signature lines



# INSTRUCTIONS FOR COMPLETION OF THIS FORM

line 1 Insert the same title as is used on the specification and in the assignment.

line 2 Is optional but is provided so that you can use it to identify more readily an application prior to the time that the Patent Office application serial number is assigned. We suggest that the specification, drawings and declaration always bear a file number since it can help to get the papers together in case they become inadvertently separated. In instances where the specification is filed without a signed declaration form (under 37 CFR §1.53) a file number on a later-received separate form will assist us in associating it with the correct case.

line 3 Check this box if the specification, claims and drawing (if any) are attached to this declaration form, e.g., when filing a new patent application.

lines 4-5 Are only used in an instance where the application is already on file and the declaration from is being separately filed, e.g., when the application was originally filed without a signed declaration or where the Patent Office has required a new declaration because of a deficiency in the original declaration. In such an instance the Patent Office will require that lines 4 and 5 be completed with the filing date and application serial number already assigned.

line 6 Is used in conjunction with line 5 but only when there have been one or more amendments to the specification or claims. Line 6 is also used when the Examiner requires a new declaration because claims inserted by amendment cover subject matter not originally claimed (37 CFR §1.67).

lines 7-11 Are for PCT (Patent Cooperation Treaty) cases and are used only when you are entering the U.S. National phase (Chapter I or II) based upon a previously filed PCT International application designating the U.S.

line 7 Check this box if this is a PCT National Phase application.

line 8 Insert PCT International application number.

line 9 Insert date of filing of PCT International application.

lines 10-11 Insert the date of all amendments filed in the PCT International application. Such amendments are optional, so this line at times will not be used.

line 12a Is used in the following instances:

- (i) If a single priority is being claimed from a foreign application you need to list only the first-filed application; you do not need to list other countries if all applications were filed within one year of the U.S. filing.
- (ii) If multiple priorities are being claimed, from a plurality of applications filed in one or more countries, you must list the first filed application for each aspect of the invention. Example: if aspect A of the invention was disclosed in an application filed 11 months earlier in country X and aspect B was disclosed 9 months earlier in an application filed in country Y, then the applications in both countries X and Y must be identified. Only the first application for each aspect of the invention needs to be identified provided all applications on that aspect were filed within one year prior to the U.S. filing.
- (iii) If a non-priority application is being filed you must list all applications in all countries where corresponding foreign applications were filed more than one year prior to the U.S. filing. This is so the Examiner can check to see if any of those applications were published or patented early enough to be prior art against the U.S. application.
- (iv) If there are more than two applications to be listed we suggest that you type in on this form only "See attached Schedule A" and then list all of the previous applications on an attached sheet.

line 12b Is used to claim priority under 35 USC §119(e) based on a provisional application filed within one year of the filing of the instant application. More than one provisional application may be identified provided neither was filed more than one year earlier.

line 13 This block is used only in instances where there is a previously filed U.S. non-provisional application which was copending at the time the present application was (or is being) filed. That previous application could be a U.S. non-provisional application or the National Phase of a PCT allocation. In such a case the present application may be entitled to the priority of the previous application's U.S. filing date (and consequently the foreign priority thereof) provided the present application is identified as a continuing application (continuation, divisional or continuation-in-part) of the earlier (parent) application. If the foregoing is applicable, please fill in one line for each such prior application.

line 14 Type the inventor's proper legal name in the order specified, e.g., "John B. JONES" or "J. Bob JONES" if the inventor so prefers. It is not acceptable to use only initials such as "J. B. JONES."

line 15 The inventor's "signature" may be his (or her) usual manner of signing but it is preferable that the inventor simply write his (or her) name in his (or her) own cursive handwriting in the same order as on line 14, e.g., given name, middle initial and Family name.

line 16 Insert the actual date of signature.

line 17 Insert simply the city and state or country, e.g., "Paris, France", of the inventor's residence, not citizenship. No street address or postal code is required on this line.

line 18 Insert the inventor's citizenship. The statement of citizenship (or subject of) is a statutory requirement (35 USC §115). Simply the name of the country of citizenship, e.g., "Japan" is sufficient.

line 19 Insert the inventor's mailing address. The purpose of requiring the post office address is to enable the Patent Office to communicate directly with the inventor if desired, such as in the case of death of the U.S. attorney. It should be the address where the inventor customarily receives his (or her) mail and should include the postal code. If applicable it can be the inventor's business address or address at place of employment.

Applicants are reminded that the U.S. Patent and Trademark Office has very strict requirements as to proper execution of an application. The applicant should make sure that he reviews the declaration, prior to signing to make sure the declaration properly identifies the application and all relevant information; and should review the specification and claims (including drawings, if any) before signing the declaration. Failure to do so will require the filing of a supplemental declaration --- 37 CFR §1.67(c).

Any handwritten changes to the specification, claims or drawings must be in ink personally by all of the inventors prior to signing the declaration and the adjacent left margin must be initialed and dated by all of the inventors, e.g., "JB 6-9-91".

Please let us know if there are any questions regarding proper completion of this form. Thank you.

An assignment, a separate document requiring separate signature and dating may be enclosed. Please look for it and sign and date it in the same manner as in lines 15 and 16 above.

**STEVENS, DAVIS, MILLER & MOSHER, L.L.P.**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application or any patent issuing thereon.

**PAGE 2 OF U.S.A. DECLARATION FORM**

14a	Typewritten Full Name of Sole or First Inventor	<u>1-0</u> <u>Guizeng</u>	<u>SHL</u>
		Given Name	Middle Name Family Name
15a	Inventor's Signature		
16a	Date of Signature		
		Month	Day Year
17a	Residence	<u>Akishima-shi</u>	<u>Tokyo</u> <u>JAPAN</u>
		City	State or Province Country
18a	Citizenship	<u>JAPAN</u>	
19a	Post Office Address (Insert complete mailing address, including country)	<u>4-4-18-104, Midori-cho,</u> <u>Akishima-shi, Tokyo 196-0004 JAPAN</u>	
14b	Typewritten Full Name of Sole or First Inventor	<u>20</u> <u>Osamu</u>	<u>KATO</u>
		Given Name	Middle Name Family Name
15b	Inventor's Signature	<u>Osamu Kato</u>	
16b	Date of Signature	<u>1</u> <u>24</u> <u>2001</u>	
		Month	Day Year
17b	Residence	<u>Yokosuka-shi</u>	<u>Kanagawa</u> <u>JAPAN</u>
		City	State or Province Country
18b	Citizenship	<u>JAPAN</u>	
19b	Post Office Address (Insert complete mailing address, including country)	<u>5-45-G302, Shonantakatori,</u> <u>Yokosuka-shi, Kanagawa 237-0066 JAPAN</u>	
14c	Typewritten Full Name of Sole or First Inventor	<u>30</u> <u>Mitsuru</u>	<u>UESUGI</u>
		Given Name	Middle Name Family Name
15c	Inventor's Signature	<u>Mitsuru Uesugi</u>	
16c	Date of Signature	<u>1</u> <u>24</u> <u>2001</u>	
		Month	Day Year
17c	Residence	<u>Yokosuka-shi</u>	<u>Kanagawa</u> <u>JAPAN</u>
		City	State or Province Country
18c	Citizenship	<u>JAPAN</u>	
19c	Post Office Address (Insert complete mailing address, including country)	<u>17-1-402, Anjindai,</u> <u>Yokosuka-shi, Kanagawa 238-0048 JAPAN</u>	
14d	Typewritten Full Name of Sole or First Inventor		
		Given Name	Middle Name Family Name
15d	Inventor's Signature		
16d	Date of Signature		
		Month	Day Year
17d	Residence		
		City	State or Province Country
18d	Citizenship		
19d	Post Office Address (Insert complete mailing address, including country)		

\*Note to Inventor: Please sign name on line 15 exactly as it appears in line 14 and insert the actual date of signing on line 16. If there are more than four inventors, please add a copy of this page for identification and signatures for the additional inventors.